

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)	Phil Stewart	Examiner	Not Yet Assigned
Serial No.	Not Yet Assigned	Group Art No.	Not Yet Assigned
Filed	Herewith	Confirmation No.	Not Yet Assigned
For	Tile Cap		

March 9, 2004

Mail Stop Patent Application
Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application, or date of entry of national application, or before mailing date of first office action on the merits, whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, which ever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
☐ Statement under 37 CFR 1.97(e)(1) or (2), and
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of issue fee)

☒ Applicant(s) submit herewith Form PTO 1449 – Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of the application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ This Application was filed after June 30, 2003. Therefore, Applicant(s) submit(s) herewith Form PTO 1449 – Information Disclosure Citation without copies of U.S. patents or U.S. publications, but includes copies of foreign patents, publications or other information of which Applicant(s) is/are aware, which applicant(s) believe(s) may be material to the examination of the application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counter part foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith

It is requested that the information disclosed herein be made of record in this application

Respectfully submitted,

LATHROP & GAGE LLC

Janelle D. Strode, Reg. No. 34,738
2345 Grand Boulevard, Suite 2400
Kansas City, Missouri 64108
Tel No: 816/460-5859
Fax No: 816/292-2001

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	1
-------	---	----	---

Complete if Known

<i>Application Number</i>	Not Yet Assigned
---------------------------	------------------

<i>Filing Date</i>	Herewith
--------------------	----------

<i>First Named Inventor</i>	Phil Stewart
-----------------------------	--------------

Art Unit	Not Yet Assigned
----------	------------------

Examiner Name	Not Yet Assigned
----------------------	-------------------------

Attorney Docket Number	412024
------------------------	--------

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-6,468,643	10-22-2002	Kanbayashi et al.	
		US- 6,413,618	07-02-2002	Parker et al.	
		US- 6,082,723	07-04-2000	Matthews	
		US- 5,989,636	11-23-1999	Wine	
		US- 5,776,605	07-07-1998	May	
		US- 5,722,746	03-03-1998	Hull et al.	
		US- 5,693,395	12-02-1997	Wine	
		US- 5,401,343	03-28-1995	Owens	
		US- 5,348,384	09-20-1994	Hull et al.	
		US- 5,314,554	05-24-1994	Owens	
		US- 5,208,086	05-04-1993	Owens	
		US- 5,188,876	02-23-1993	Hensel et al.	
		US- 5,077,112	12-31-1991	Hensel et al.	
		US- 4,931,331	06-05-1990	Owens	
		US- 4,864,790	09-12-1989	Liardet	
		US- 4,740,257	04-26-1988	Halls et al.	
		US- 4,621,001	11-04-1986	Bard	
		US- 4,511,621	04-16-1985	Thomas et al.	
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner
Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.